

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
New England
1 Congress Street, Suite 1100
Boston, Massachusetts 02114-2023

ATTORNEY/CLIENT PRIVILEGE

MEMORANDUM

Superfund Assets Owner
SITE: SHPACK
BREAK: 11.9
OTHER: 233213

DATE : February 17, 2005

FROM: William F. Hanscom, Investigator
Search & Cost Recovery Section
Office of Site Remediation & Restoration

TO : Shpack Site File

SUBJ : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

1897

Swank Products Company was established.¹

April 17, 1936

Swank Products, Inc. was incorporated in the State of Delaware to

“Manufacture, buy, sell, import, export, trade and deal in jewelry and wearing apparel of every kind and description....”²

¹ Page No. 6085, Moody’s 1985 Industrial Manual, Volume 2, J - Z, Kirstein Library, Boston, Massachusetts.

² Division of Corporations, Massachusetts Secretary of State, Boston, Massachusetts and Page No. 6085, Moody’s 1985 Industrial Manual, Volume 2, J - Z, Kirstein Library, Boston, Massachusetts and Book No. 6419, Pages 174-186, Bristol County North Registry of Deeds, Taunton, Massachusetts.



April 29, 1936

Swank Products, Inc., a Delaware corporation, was authorized to conduct business in Massachusetts as a foreign corporation.³

December 16, 1940

Swank Products, Inc. changed it's corporate name to Swank, Inc.⁴

April 3, 1957

Swank, Inc. recorded an amendment to it's articles of incorporation with the Delaware Secretary of State.

"FOURTH: The total number of shares of stock which the corporation shall have authority to issue is five hundred thousand (500,000) shares of common stock of the par value of One Dollar (\$ 1.00) each, amounting in the aggregate to Five Hundred Thousand Dollars (\$ 500,000.00)...."⁵

April 29, 1957

Swank, Inc. recorded an amendment to it's articles of incorporation with the Delaware Secretary of State.

"FOURTH: The total number of shares of stock which the corporation shall have authority to issue is five hundred and ten thousand (510,000) of which stock ten thousand (10,000) shares of the par value of One Hundred Dollars (\$ 100.00) each, amounting in the aggregate to One Million Dollars (\$ 1,000,000.00) shall be preferred stock and of which five hundred thousand (500,000) shares of the par value of One Dollar (\$ 1.00)

³ Division of Corporations, Massachusetts Secretary of State, Boston, Massachusetts.

⁴ Page No. 6085, Moody's 1985 Industrial Manual, Volume 2, J - Z, Kirstein Library, Boston, Massachusetts and Book No. 6419, Pages 187-191, Bristol County North Registry of Deeds, Taunton, Massachusetts.

⁵ Book No. 6419, Pages 192-196, Bristol County North Registry of Deeds, Taunton, Massachusetts.

each, amounting in the aggregate to Five Hundred Thousand Dollars (\$ 500,000.00) shall be common stock....”⁶

April 30, 1957

Swank, Inc. recorded a certificate of retirement with the Delaware Secretary of State in which holders of 7,383 shares of preferred shares of Swank stock surrendered them for conversion into shares of common stock. The preferred shares, 2,617, were purchased and retired⁷.

June 29, 1961

Swank, Inc. recorded an amendment to it’s articles of organization with the Delaware Secretary of State.

“**FOURTH:** The total number of shares of stock which the corporation shall have authority to issue is 2,000,000 shares of common stock of par value of \$ 1 each....”

The following were recorded as officers of Swank, Inc.

President : Marshall Tulin

Secretary : John J. McCarte⁸

March 29, 1965

Swank, Inc. recorded an amendment to it’s articles of organization with the Delaware Secretary of State.

⁶ Book No. 6410, Pages 196-200, Bristol County North Registry of Deeds, Taunton, Massachusetts.

⁷ Book No. 6419, Pages 201-204, Bristol County North Registry of Deeds, Taunton, Massachusetts.

⁸ Book No. 6419, Pages 205-208, Bristol County North Registry of Deeds, Taunton, Massachusetts.

“FOURTH: The total number of shares of stock which the corporation shall have authority to issue is 4,000,000 shares of common stock of the par value of \$ 1 each....”⁹

September 1966

Swank, Inc. acquired Prince Gardner Company, Inc. for \$ 8,900,000.00.¹⁰

December 18, 1967

Prince Gardner Company, Inc., a New York corporation, merged into Swank, Inc., 90 Park Avenue, New York, New York.¹¹

April 21, 1969

Swank, Inc. recorded an amendment to it’s articles of organization with the Delaware Secretary of State.

“FOURTH: The total number of shares of all classes of capital stock which the corporation shall have authority to issue is seven million (7,000,000) shares of which six million (6,000,000) shares shall be designated common stock of the par value of One Dollar (\$1.00) per share, and one million (1,000,000) shares shall be designated preferred stock of the par value of One Dollar (\$1.00) per share....”¹²

⁹ Book No. 6419, Pages 207-212, Bristol County North Registry of Deeds, Taunton, Massachusetts.

¹⁰ Page No. 43, Moody’s 1971 Industrial Manual, Kirstein Library, Boston, Massachusetts.

¹¹ Book No. 6419, Pages 213-216, Bristol County North Registry of Deeds, Taunton, Massachusetts.

¹² Book No. 6419, Pages 220-225, Bristol County North Registry of Deeds, Taunton, Massachusetts.

December 23, 1969

Swank, Inc.'s plan to acquire Hickok Manufacturing Company was dropped because of the inability to agree on terms.¹³

January 8, 1971

Swank, Inc.'s acquisition of Crestline Manufacturing Corporation, South Norwalk, Connecticut, manufacturer of men's belts, watch straps and other personal leather goods, has been effected for an undisclosed cash sum.¹⁴

February 26, 1971

Swank, Inc.'s acquisition of the assets of Alco Leather Manufacturing, San Francisco, maker of men's leather belts, has been effected for an undisclosed cash sum.¹⁵

March 26, 1971

Crestline Manufacturing Corporation, a Connecticut corporation, merged into Swank, Inc.¹⁶

November 27, 1981

A UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.

Secured Party : FCB Leasing, Ltd.¹⁷

¹³ Page No. 1770, Moody's Industrial Manual Supplements, May 30, 1969 - May 26, 1970, Kirstein Library, Boston, Massachusetts.

¹⁴ Page No. 2085, Moody's Industrial Manual Supplements, May 29, 1970 - May 25, 1971, Kirstein Library, Boston, Massachusetts.

¹⁵ Page No. 1866, Moody's Industrial Manual Supplements, May 29, 1970 - May 25, 1971, Kirstein Library, Boston, Massachusetts.

¹⁶ Book No. 6419, Pages 226-230, Bristol County North Registry of Deeds, Taunton, Massachusetts.

¹⁷ UCC File No. 81198883, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

May 15, 1987

Swank, Inc. recorded a restated certificate of incorporation with the Delaware Secretary of State.¹⁸

December 18, 1987

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc.
Secured Party	:	Pitney Bowes Credit Corporation ¹⁹

February 23, 1988

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc
Secured Party	:	Chase Manhattan Bank, N.A. ²⁰

February 23, 1988

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank Sales International
Secured Party	:	Chase Manhattan Bank, N.A. ²¹

¹⁸ Book No. 6419, Pages 236-246, Bristol County North Registry of Deeds, Taunton, Massachusetts.

¹⁹ UCC File No. 87747242, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²⁰ UCC File No. 88762183, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²¹ UCC File No. 88762185, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

February 26, 1988

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc.
Secured Party	:	Lanier Business Products, Inc. ²²

September 23, 1988

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc.
Secured Party	:	Lanier Business Products, Inc. ²³

October 18, 1988

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc.
Secured Party	:	Lanier Business Products, Inc. ²⁴

March 14, 1989

A UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

²² UCC File No. 88763541, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²³ UCC File No. 88819742, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²⁴ UCC File No. 88825426, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.

Secured Party : Chase Manhattan Bank, N.A.²⁵

September 28, 1989

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.

Secured Party : Pitney Bowes Credit Corporation²⁶

October 11, 1989

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.

Secured Party : Baum USA²⁷

November 13, 1989

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.

Secured Party : Eaton Financial Corporation²⁸

²⁵ UCC File No. 89860178, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²⁶ UCC File No. 89909302, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²⁷ UCC File No. 89911679, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

²⁸ UCC File No. 89918826, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

May 9, 1990

A UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
Secured Party : Baum USA²⁹

May 22, 1990

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Sales International, Inc.
Secured Party : Chase Manhattan Bank, N.A.³⁰

May 22, 1990

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
Secured Party : Chase Manhattan Bank, N.A.³¹

April 9, 1991

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

²⁹ UCC File No. 90004940, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³⁰ UCC File No. 90958816, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³¹ UCC File No. 90958818, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
Swank Factory Outlet
Secured Party : D. H. P. Limited Partnership³²

April 9, 1991

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Factory Outlet
Swank, Inc.
Secured Party : D. H. P. Limited Partnership³³

April 9, 1991

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Factory Outlet
Swank, Inc.
Secured Party : D. H. P. Limited Partnership³⁴

May 13, 1991

A UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

³² UCC File No. 91019299, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³³ UCC File No. 91019301, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³⁴ UCC File No. 91019302, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.

Secured Party : K. L. C., Inc. Keystone Leasing³⁵

October 8, 1991

At about 2:21 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fuji Photo Film U. S. A., Inc.
555 Taxter Road
Elmsford, New York³⁶

January 29, 1992

At about 11:22 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Studebaker Worthington Leasing Corporation
100 Jericho Quadrangle
Jericho, New York³⁷

February 18, 1992

At about 8:59 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

³⁵ UCC file No. 91025925, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³⁶ UCC File No. 91051835, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³⁷ UCC File No. 92071692, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : CIT Group Equipment Leasing
2110 Walnut Hill Lane, Suite 260
Irving, Texas³⁸

February 24, 1992

At about 1:30 PM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Studebaker Worthington Leasing Corporation
100 Jericho Quadrangle
Jericho, New York³⁹

March 16, 1992

At about 8:48 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

³⁸ UCC File No. 92074786, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

³⁹ UCC File No. 92076154, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri⁴⁰

March 16, 1992

At about 8:49 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri⁴¹

April 2, 1992

At about 8:46 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Equipment Financing, Inc.
16144 Westwoods Business Park
Ellesville, Missouri

Assignee : CIT Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁴²

⁴⁰ UCC File No. 92079742, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁴¹ UCC File No. 92079743, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁴² UCC File No. 92083425, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

May 6, 1992

At about 12:06 PM, a UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	Chase Manhattan Bank, N.A. 1 Liberty Square Boston, Massachusetts ⁴³

May 6, 1992

At about 12:06 PM, a UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	Chase Manhattan Bank, N.A. 199 Water Street, 12 th Floor New York, New York ⁴⁴

June 8, 1992

At about 11:09 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
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⁴³ UCC File No. 92090224, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁴⁴ UCC File No. 92090225, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : Meramec Valley Bank
35 Marshall Road
Valley Park, Missouri⁴⁵

June 19, 1992

At about 2:55 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : Bank of Chesterfield
100 Chesterfield Industrial
Chesterfield, Missouri⁴⁶

August 3, 1992

At about 1:58 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

⁴⁵ UCC File No. 92096169, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁴⁶ UCC File No. 92098613, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Assignee : Meramec Valley Bank
35 Marshall Road
Valley Park, Missouri⁴⁷

September 11, 1992

At about 9:22 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Yale Industrial Trucks of Rhode Island, Inc.
860 Waterman Avenue
East Providence, Rhode Island

Assignee : Yale Financial Services, Inc.
P. O. Box 2160
Flemington, New Jersey⁴⁸

September 21, 1992

At about 1:03 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

⁴⁷ UCC File No. 92106711, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁴⁸ UCC File No. 92113338, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Assignee : Meramec Valley Bank
35 Marshall Road
Valley Park, Missouri⁴⁹

October 7, 1992

At about 11:04 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Canon Financial Services, Inc.
200 Commerce Square Boulevard
Burlington, New Jersey⁵⁰

November 5, 1992

At about 8:52 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁵¹

⁴⁹ UCC File No. 92115111, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵⁰ UCC File No. 92118550, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵¹ UCC File No. 92123487, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

November 20, 1992

At about 12:22 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	St. Louis Leasing Corporation 16144 Westwoods Business Park Ellisville, Missouri
Assignee	:	Bank of Chesterfield 100 Chesterfield Industrial Chesterfield, Missouri ⁵²

December 28, 1992

At about 11:14 AM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	The Chase Manhattan Bank, N.A. c/o Chase National Corporate Service 1 Liberty Square Boston, Massachusetts ⁵³

December 28, 1992

At about 11:15 AM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

⁵² UCC File No. 92126430, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵³ UCC File No. 92132935, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank Sales International, Inc.
c/o Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank, N.A.
c/o Chase National Corporate Services
1 Liberty Square
Boston, Massachusetts⁵⁴

June 15, 1993

At about 10:25 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following.

Debtor : Swank, Inc.
6 Hazel Street, P. O. Box 839
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁵⁵

June 15, 1993

At about 10:29 AM, a UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁵⁴ UCC File No. 92132936, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵⁵ UCC File No. 93167194, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : The Chase Manhattan Bank
4 Metrotech Center, 13th Floor
Brooklyn, New York⁵⁶

June 15, 1993

At about 10:30 AM, a UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
4 Metrotech Center, 13th Floor
Brooklyn, New York⁵⁷

June 16, 1993

At about 3:08 PM, a UCC-3 Amendment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank, N.A.
4 Metrotech Center, 13th Floor
Brooklyn, New York⁵⁸

June 16, 1993

At about 3:08 PM, a UCC-3 Amendment Form was recorded with the Massachusetts Secretary of State and included the following information.

⁵⁶ UCC File No. 93167195, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵⁷ UCC File No. 93167197, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁵⁸ UCC File No. 93167632, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank Sales Internationally V. I., Inc.
c/o Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank, N.A.
4 Metrotech Center, 13th Floor
Brooklyn, New York⁵⁹

July 1, 1993

At about 8:54 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶⁰

July 1, 1993

At about 8:54 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁵⁹ UCC File No. 93167631, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶⁰ UCC File No. 93170706, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶¹

September 23, 1993

At about 8:52 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶²

November 9, 1993

At about 9:18 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁶¹ UCC File No. 93170707, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶² UCC File No. 93187130, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : I. B. M.
404 Wyman Street
Waltham, Massachusetts⁶³

December 2, 1993

At about 8:51 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶⁴

December 2, 1993

At about 8:52 AM, a UCC-1 Finance Statement is recorded with the Massachusetts Secretary of State and includes the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

⁶³ UCC File No. 93196857, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶⁴ UCC File No. 93201042, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶⁵

February 22, 1994

At about 8:54 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : St. Louis Leasing Corporation
16144 Westwoods Business Park
Ellisville, Missouri

Assignee : C. I. T. Group Equipment Financing, Inc.
2110 Walnut Hill Lane, Suite 260
Irving, Texas⁶⁶

May 17, 1994

At about 9:01 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fleet Credit Corporation
Fleet Center
50 Kennedy Plaza, 5th Floor
Providence, Rhode Island⁶⁷

⁶⁵ UCC File No. 93201043, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶⁶ UCC File No. 94217199, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶⁷ UCC File No. 94235639, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

August 17, 1994

At about 11:00 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	Vanguard Financial Services Corporation 1110 North Main Street Lombard, Illinois ⁶⁸

February 9, 1995

At about 10:23 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	Winthrop Resources Corporation 1015 Opus Center 9900 Bren Road, East Minnetonka, Minnesota
Assignee	:	Sanwa Business Credit Corporation One South Wacker Drive Chicago, Illinois ⁶⁹

March 24, 1995

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

⁶⁸ UCC File No. 94254997, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁶⁹ UCC File No. 95291817, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank Factory Outlet

Swank, Inc.
638 Quequechan Street
Fall River, Massachusetts

Secured Party : D. H. P. Limited Partnership
45 Plainfield Street
Chicopee, Massachusetts⁷⁰

March 24, 1995

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.

Swank Factory Outlet
769 East Falmouth Highway
East Falmouth, Massachusetts

Secured Party : D. H. P. Limited Partnership
45 Plainfield Street
Chicopee, Massachusetts⁷¹

March 24, 1995

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Factory Outlet

Swank, Inc.
656 Joseph Warner Boulevard
Taunton, Massachusetts

⁷⁰ UCC File No. 95002767, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁷¹ UCC File No. 95002768, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : D. H. P. Limited Partnership
45 Plainfield Street
Chicopee, Massachusetts⁷²

March 28, 1995

At about 1:42 PM, a UCC-3 Amendment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fleet Credit Corporation
Fleet Center
50 Kennedy Plaza, 5th Floor
Providence, Rhode Island⁷³

July 18, 1995

At about 1:53 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
Six Hazel Street
Attleboro, Massachusetts

Secured Party : Fleet Credit corporation
50 Kennedy Plaza, 5th Floor
Providence, Rhode Island⁷⁴

⁷² UCC File No. 95002769, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁷³ UCC File No. 95301789, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁷⁴ UCC File No. 95326576, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

January 12, 1996

At about 11:32 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	I. B. M. Credit Corporation Dept. C4E, Mail Stop 311 290 Harbor Drive Stamford, Connecticut ⁷⁵

February 13, 1996

At about 11:47 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	Winthrop Resources Corporation 1015 Opus Center 9900 Bren Road, East Minnetonka, Minnesota ⁷⁶

March 15, 1996

At about 9:53 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
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⁷⁵ UCC File No. 96362811, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁷⁶ UCC File No. 96369550, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : Winthrop Resources Corporation
1015 Opus Center
9900 Bren Road, East
Minnetonka, Minnesota⁷⁷

April 4, 1996

At about 10:34 AM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Winthrop Resources Corporation
1015 Opus Center
9900 Bren Road, East
Minnetonka, Minnesota

Assignee : Sanwa Business Credit Corporation
One South Wacker Drive
Chicago, Illinois⁷⁸

April 4, 1996

At about 10:34 AM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Winthrop Resources Corporation
1015 Opus Center
9900 Bren Road, East
Minnetonka, Minnesota

⁷⁷ UCC File No. 96375608, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁷⁸ UCC File No. 96379926, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Assignee : Sanwa Business Credit Corporation
One South Wacker Drive
Chicago, Illinois⁷⁹

May 7, 1996

At about 10:02 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. J. Schroder Bank & Trust Company
One State Street
New York, New York⁸⁰

May 28, 1996

At about 11:28 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Sales International V. I., Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. J. Schroder Bank & Trust Company
One State Street
New York, New York⁸¹

May 29, 1996

At about 1:37 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

⁷⁹ UCC File No. 96379927, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸⁰ UCC File No. 96387748, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸¹ UCC File No. 96392667, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. M. Credit Corporation
Dept. C4E, Mail Stop 311
290 Harbor Drive
Stamford, Connecticut⁸²

June 10, 1996

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fleet Capital Corporation
Fleet Center
50 Kennedy Plaza
Providence, Rhode Island⁸³

June 10, 1996

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁸² UCC File No. 96393157, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸³ UCC File No. 96005374, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : Fleet Capital Corporation
Fleet Center
50 Kennedy Plaza
Providence, Rhode Island⁸⁴

October 3, 1996

At about 1:47 PM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fuji Photo Film U. S. A., Inc.
555 Taxter Road
Elmsford, New York⁸⁵

March 20, 1997

At about 2:10 PM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Yale Financial Services, Inc.
P. O. Box 2160
Flemington, New Jersey⁸⁶

⁸⁴ UCC File No. 96005375, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸⁵ UCC File No. 96420838, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸⁶ UCC File No. 97455903, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

July 22, 1997

At about 10:05 AM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	I. B. J. Schroder Bank & Trust Company One State Street New York, New York
Assignee	:	I. B. J. Schroder Business Credit Corporation One State Street New York, New York ⁸⁷

July 22, 1997

At about 10:07 AM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank Sales International V. I., Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	I. B. J. Schroder Bank & Trust Company One State Street New York, New York
Assignee	:	I. B. J. Schroder Business Credit Corporation One State Street New York, New York ⁸⁸

⁸⁷ UCC File No. 97484755, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁸⁸ UCC File No. 97484756, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

February 3, 1998

At about 11:43 AM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Sales International V. I., Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
1 Liberty Square
Boston, Massachusetts⁸⁹

February 3, 1998

At about 11:43 AM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
1 Liberty Square
Boston, Massachusetts⁹⁰

February 17, 1998

At about 11:06 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁸⁹ UCC File No. 98527086, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹⁰ UCC File No. 98527087, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : Minolta Leasing Services
1055 Westlake Drive
Berwyn, Pennsylvania⁹¹

May 22, 1998

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Sales International V. I., Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
4 Metrotech Center, 13 Floor
Brooklyn, New York⁹²

May 22, 1998

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
4 Metrotech Center, 13 th Floor
Brooklyn, Massachusetts⁹³

July 29, 1998

At about 11:40 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

⁹¹ UCC File No. 98529606, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹² UCc File No. 98005315, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹³ UCC File No. 98005316, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : P. N. C. Bank, National Association
Two Tower Cetner Boulevard
East Brunswick, New Jersey⁹⁴

July 29, 1998

At about 11:41 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Factory Outlet Store
Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : P. N. C. Bank, National Association
Two Tower Cetner Boulevard
East Brunswick, New Jersey⁹⁵

August 4, 1998

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Sales International V. I., Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. J. Schroder Business Credit Corporation
One State Street
New York, New York⁹⁶

⁹⁴ UCC File No. 98567647, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹⁵ UCC File No. 98567648, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹⁶ UCC File No. 98008038, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

August 21, 1998

At about 5:00 PM, a UCC-3 Termination Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank, Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	I. B. J. Schroder Business Credit Corporation 1 State Street New York, New York ⁹⁷

December 28, 1998

At about 12:07 PM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor	:	Swank Sales International V. I., Inc. 6 Hazel Street Attleboro, Massachusetts
Secured Party	:	The Chase Manhattan Bank, N.A. 1 Liberty Square Boston, Massachusetts
Assignee	:	The Chase Manhattan Bank 100 Jericho Quadrangle Jericho, New York ⁹⁸

December 28, 1998

At about 12:07 PM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

⁹⁷ UCC File No. 98008814, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

⁹⁸ UCC File No. 98599901, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : The Chase Manhattan Bank
1 Liberty Square
Boston, Massachusetts

Assignee : The Chase Manhattan Bank
200 Jericho Quadrangle
Jericho, New York⁹⁹

December 29, 1998

At about 10:50 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. M. Credit Corporation
1 North Castle Drive
Armonk, New York¹⁰⁰

February 1, 1999

At about 10:57 AM, a UCC-1 Finance Statement was recorded at the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

⁹⁹ UCC File No. 98599902, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰⁰ UCC File No. 98600223, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : Winthrop Resources Corporation
1015 Opus Center
Minnetonka, Minnesota¹⁰¹

February 1, 1999

At about 10:58 AM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Winthrop Resources Corporation
1015 Opus Center
Minnetonka, Minnesota¹⁰²

May 28, 1999

At about 1:41 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : I. B. M. Credit Corporation
1 North Castle Drive
Armonk, New York¹⁰³

September 14, 1999

At about 1:52 PM, a UCC-1 Finance Statement was recorded with the Massachusetts Secretary of State and included the following information.

¹⁰¹ UCC File No. 99607016, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰² UCC File No. 99607017, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰³ UCC File No. 99635268, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Newcourt Communications Finance Corporation
2 Gatehall Drive
Parsippany, New Jersey¹⁰⁴

July 26, 2001

At about 3:43 PM, a UCC-3 Assignment Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank Factory Outlet Store

Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : P. N. C. Bank, National Association
Two Tower Cetner Boulevard
East Brunswick, New Jersey¹⁰⁵

July 26, 2001

At about 11:33 AM, a UCC-3 Release Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

¹⁰⁴ UCC File No. 99660132, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰⁵ UCC File No. 200104161250, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Secured Party : P. N. C. Bank, National Association
Two Tower Cetner Boulevard
East Brunswick, New Jersey¹⁰⁶

August 29, 2001

At about 11:18 AM, a UCC-3 Continuation Form was recorded with the Massachusetts Secretary of State and included the following information.

Debtor : Swank, Inc.
6 Hazel Street
Attleboro, Massachusetts

Secured Party : Fuji Photo Film U. S. A., Inc.
555 Taxter road
Elmsford, New York¹⁰⁷

February 11, 2002

Swank, Inc., 8 Hazel Street, Attleboro, Massachusetts, filed an annual report with the Massachusetts Secretary of State for the fiscal year ending December 31, 2001.

The following were recorded as officers and directors of Swank, Inc.

Chairman of the : Marshall Tulin
Board & Director 90 Park Avenue
New York, New York

President & Director : John Tulin
90 Park Avenue
New York, New York

Senior Vice President : Paul Duckett
656 Joseph Warner Boulevard
Taunton, Massachusetts

¹⁰⁶ UCC File No. 200104180170, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰⁷ UCC File No. 200105041560, Uniform Commercial Code Section, Massachusetts Secretary of State, Boston, Massachusetts.

Senior Vice President :	Melvin Goldfeder 90 Park Avenue New York, New York
Senior Vice President :	William Barry Heuser 345 Ely Avenue South Norwalk, Connecticut
Senior Vice President, : Treasurer & Secretary	Jerold R. Kassner 6 Hazel Street Attleboro, Massachusetts
Senior Vice : President & Director	Eric Luft 90 Park Avenue New York, New York
Senior Vice President :	William F. Rubin 250 Spring Street, NW Atlanta, Georgia
Senior Vice : President & Director	James E. Tulin 8800 North Galney Center Drive 2 nd Floor, Suite 278 Scottsdale, Arizona
Vice President :	Jennifer Cowan Heuser 345 Ely Avenue South Norwalk, Connecticut
Vice President :	Arthur T. Gately, III 6 Hazel Street Attleboro, Massachusetts
Vice President :	Robert C. Rosenberg 90 Park Avenue New York, New York
Director :	Mark Abramowitz Jenkins & Gilchrist, Parker, Chapin, LLP 406 Lexington Avenue New York, New York

Director : John J. Macht
The Macht Group
14 Valley Road
Chestnut Hill, Massachusetts

Director : Raymond H. Vise
90 Park Avenue
New York, New York¹⁰⁸

February 18, 2003

Swank, Inc., 6 Hazel Street, Attleboro, Massachusetts, filed an annual report with the Massachusetts Secretary of State. A list of the officers and directors was attached.¹⁰⁹

March 24, 2003

Swank, Inc., 6 Hazel Street, Attleboro, Massachusetts, filed an annual report with the Massachusetts Secretary of State for the fiscal year ending December 31, 2002.

The following were recorded as officers and directors of Swank, Inc.

Chairman of the : Marshall Tulin
Board & Director 90 Park Avenue
New York, New York

President : John Tulin
90 Park Avenue
New York, New York

Senior Vice President : Paul Duckett
656 Joseph Warner Boulevard
Taunton, Massachusetts

Senior Vice President : Melvin Goldfeder
90 Park Avenue
New York, New York

¹⁰⁸ Annual Report No. 020026901, Division of Corporations, Massachusetts Secretary of State, Boston, Massachusetts.

¹⁰⁹ Annual Report No. 030019260, Division of Corporations, Massachusetts Secretary of State, Boston, Massachusetts.

Senior Vice President, Treasurer & Secretary	:	Jerold R. Kassner 6 Hazel Street Attleboro, Massachusetts
Senior Vice President & Director	:	Eric Luft 90 Park Avenue New York, New York
Senior Vice President	:	William F. Rubin 250 Spring Street, NW Atlanta, Georgia
Senior Vice President & Director	:	James E. Tulin 8800 North Galney Center Drive 2 nd Floor, Suite 278 Scottsdale, Arizona
Vice President	:	Arthur T. Gately, III 6 Hazel Street Attleboro, Massachusetts
Vice President	:	Robert C. Rosenberg 90 Park Avenue New York, New York
Director	:	John J. Macht The Macht Group 14 Valley Road Chestnut Hill, Massachusetts
Director	:	Raymond H. Vise 8 El Paseo Irvine, California ¹¹⁰

¹¹⁰ Annual Report Nos. 030019260 and 030021089, Division of Corporations,
Massachusetts Secretary of State, Boston, Massachusetts.

SWANK, INC.

1897	Swank Products Company was established.
04/17/36	Swank Products Company was incorporated in Delaware.
04/29/36	Swank Products Company, Inc. was authorized to conduct business in Massachusetts.
12/16/40	Swank Products Company, Inc. changed it's name to Swank, Inc.
12/18/67	Prince Gardner Company, Inc. merged into Swank, Inc.
03/26/71	Crestline Manufacturing Corporation merged into Swank, Inc.
2002	Swank, Inc. filed an annual report with Massachusetts for 2001.
2003	Swank, Inc. filed an annual report with Massachusetts for 2002.

NOTE: A more detailed corporate history of Swank, Inc. is attached for further reference.

All references cited from Moody's manuals are the dates of publication of an event and not necessarily the date of occurrence of the event.